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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. § 371**

449122014800

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/089550
yet assigned

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/DE00/03434

September 27, 2000

September 30, 1999

TITLE OF INVENTION

**METHOD FOR IDENTIFICATION OF AN OSCILLATION IN AN ELECTRICAL POWER SUPPLY
SYSTEM**

APPLICANT(S) FOR DO/EO/US

Juergen HOLBACH et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:


1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

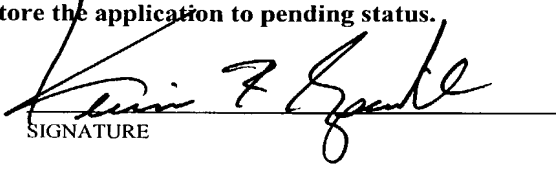
Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☒ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items: 1) Application Data Sheet; 2) Int'l Search Report; 3) IPER; 4) Return receipt postcard.

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on April 1, 2002.


Melissa Garton

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) Not yet assigned 10/089550		INTERNATIONAL APPLICATION NO. PCT/DE00/03434		ATTORNEY DOCKET NO. 449122014800	
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provision of PCT Article 33(1)-(4)\$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00				CALCULATIONS PTO USE ONLY	
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =		x \$18.00	\$0	
Independent claims	- 3 =		x \$84.00	\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$0	
TOTAL OF ABOVE CALCULATIONS =				\$890.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0	
SUBTOTAL =				\$890.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$0
TOTAL NATIONAL FEE =				\$890.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$0
TOTAL FEES ENCLOSED =				\$890.00	
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				charged:	\$
a. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 03-1952 (referencing Docket No. 449122014800) in the amount of \$890.00 to cover the above fees. A duplicate copy of this sheet is enclosed. b. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 03-1952 (referencing Docket No. 449122014800).					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO:</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> Kevin R. Spivak Morrison & Foerster LLP 2000 Pennsylvania Avenue, N.W. Washington, D.C. 20006-1888 </div> <div style="width: 45%; text-align: center;">  SIGNATURE Kevin R. Spivak Registration No. 43,148 April 1, 2002 </div> </div>					

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Melissa Garton
Melissa Garton

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Juergen HOLBACH et al.

Serial No.: Not yet assigned

Filing Date: April 1, 2002

For: METHOD FOR OSCILLATION OF
AN OSCILLATION IN AN
ELECTRICAL POWER SUPPLY
SYSTEM

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

PRELIMINARY AMENDMENT

BOX PCT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination on the merits, please amend this application as follows:

In the Claims:**What is claimed is:**

1. (Amended) A method for producing at least one signal, which indicates an oscillation in an electrical power supply system, comprising:

sampling a phase current and a phase voltage from at least one phase of the power supply system, forming phase current and phase voltage sample values;

forming impedance values from the phase current and phase voltage sample values;

monitoring the impedance values for an oscillation and, if an oscillation is identified, at least one memory element is set, and the oscillation signal is output;

checking other impedance values to determine whether the oscillation is still continuing after setting the memory element; and

8. (Amended) The method as claimed in claim 1, wherein time derivative values of a reactance are used as the variable dependent on the impedance values.
9. (Amended) The method as claimed in claim 1, wherein positive phase sequence system impedance values are formed from the phase current and phase voltage sample values, and a common memory element is provided, and a common oscillation signal is produced, for all the phases in the power supply system.
10. (Amended) The method as claimed in claim 1, wherein phase impedance values are formed from the phase current and phase voltage sample values of each phase of the power supply system to be investigated for oscillation, and a dedicated memory element is provided, and a dedicated oscillation signal is produced, for each of these phases.
11. (Amended) The method as claimed in claim 10, wherein a variable U_{re} including the real part of the phase voltage sample values, a variable U_{im} including the imaginary part of the phase voltage sample values, a variable I_{re} including the real part of the phase current sample values and a variable I_{im} including the imaginary part of the phase current sample values are formed from the phase current and phase voltage sample values ~~(i, u)~~ for each phase,
 - a phase real power variable P is determined from $P = U_{re} \cdot I_{re} - U_{im} \cdot I_{im}$,
 - a phase Wattless component variable Q is determined from $Q = U_{im} \cdot I_{re} + U_{re} \cdot I_{im}$,
 - a squared phase current amplitude variable I^2 is determined from $I^2 = I_{re} \cdot I_{re} + I_{im} \cdot I_{im}$,
 - system-frequency components are removed by means of a least squares estimation method from the phase real power variable P , from the phase wattless component variable Q and from the squared phase current amplitude variable I^2 , and
 - phase resistance values R are determined from $R = P/I^2$ and phase reactance values X are determined from $X = Q/I^2$, and phase impedance values $Z = R + jX$ are thus determined.

In the Abstract:


Please replace the Abstract with the substitute Abstract attached hereto.

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Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned **“Version with markings to show changes made”**.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing docket no. 449122014800. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 1, 2002


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(The following information was obtained from the records of the Federal Bureau of Investigation, Department of Justice.)

In the Claims:

What is claimed is:

- 5

any an occurrence of a ~~further~~ other impedance values or of a variable dependent on ~~this~~ the impedance values which differs from the oscillation model is assessed as the oscillation having stopped.

2. (Amended) The method as claimed in claim 1, ~~wherein characterized in that~~ the oscillation model is determined by means of a least squares estimation method.

3. (Amended) The method as claimed in claim 2, ~~wherein characterized in that~~ a function in the form $f(x)=ax^3+bx^2+cx+d$ with the parameters a, b, c and d, for which one or more parameters can be defined to be zero from the start, or a sum of decaying sine and cosine functions is used as the model rule for the oscillation model.

4. (Amended) The method as claimed in ~~one of claims 1 to 3, characterized in that~~ claim 1, wherein resistance values (~~R~~) are used as the variable dependent on the impedance values.

5. (Amended) The method as claimed in ~~one of claims 1 to 3, characterized in that~~ claim 1, wherein reactance values (~~X~~) are used as the variable dependent on the impedance values.

6. (Amended) The method as claimed in ~~one of claims 1 to 3, characterized in that~~ claim 1, wherein time derivative values (~~dZ/dt~~) of the impedance are used as the variable dependent on the impedance values.

7. (Amended) The method as claimed in ~~one of claims 1 to 3, characterized in that~~ claim 1, wherein time derivative values (~~dR/dt~~) of a resistance are used as the variable dependent on the impedance values.

8. (Amended) The method as claimed in ~~one of claims 1 to 3, characterized in that~~ claim 1, wherein time derivative values (~~dX/dt~~) of a reactance are used as the variable dependent on the impedance values.

9. (Amended) The method as claimed in ~~one of claims 1 to 8, characterized in that~~ claim 1, wherein positive phase sequence system impedance values are formed from the phase current and phase voltage sample values (~~i, u~~), and a common memory element (~~Sp~~) is provided, and a common oscillation signal (~~Pd~~) is produced, for all the phases in the power supply system.

10. (Amended) The method as claimed in ~~one of claims 1 to 8, characterized in that~~ claim 1, wherein phase impedance values are formed from the phase current and phase voltage sample values (~~i, u~~) of each phase of the power supply system to be investigated for oscillation, and a dedicated memory element (~~Sp~~) is provided, and a dedicated oscillation signal (~~Pd~~) is produced, for each of these phases.

11. (Amended) The method as claimed in claim 10, ~~characterized in that~~ wherein ~~in order to form the phase impedance values,~~

a variable U_re ~~containing~~ including the real part of the phase voltage sample values, a variable U_im ~~containing~~ including the imaginary part of the phase voltage sample values, a variable I_re ~~containing~~ including the real part of the phase current sample values and a variable I_im ~~containing~~ including the imaginary part of the phase current sample values are formed from the phase current and phase voltage sample values (~~i, u~~) for each phase,

a phase real power variable P is determined from $P = U_{re} \cdot I_{re} - U_{im} \cdot I_{im}$,

a phase Wattless component variable Q is determined from $Q = U_{im} \cdot I_{re} + U_{im} \cdot I_{re}$,

a squared phase current amplitude variable I^2 is determined from $I^2 = I_{re} \cdot I_{re} + I_{im} \cdot I_{im}$,

system-frequency components are ~~in each case~~ removed by means of a least squares estimation method from the phase real power variable P, from the phase wattless component variable Q and from the squared phase current amplitude variable I^2 , and

phase resistance values R are determined from $R = P/I^2$ and phase reactance values X are determined from $X = Q/I^2$, and phase impedance values $Z = R + jX$ are thus determined.

In the Abstract:

Please replace the Abstract with the substitute Abstract attached hereto.

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED

Abstract

8

METHOD FOR IDENTIFICATION OF AN OSCILLATION IN AN
ELECTRICAL POWER SUPPLY SYSTEM

CLAIM FOR PRIORITY

5 This application claims priority to International
Application No. PCT/DE00/03434 which was filed in the
German language on September 27, 2000.

TECHNICAL FIELD OF THE INVENTION

10 The invention relates to a method for producing at
least one signal (oscillation signal), which indicates
an oscillation in an electrical power supply system.

BACKGROUND OF THE INVENTION

15 German Laid-Open Specification DE 195 03 626 A1
describes a method of identifying an oscillation. In
this method, once a memory element has been set,
further impedance values are checked to determine
whether the oscillation that has been found is still
20 continuing, by determining the rate of change of the
magnitude of respectively successive impedance values.
If it is found that the rate of change is above a limit
value, this identifies that the oscillation has
stopped, and the memory element is reset. It is
25 difficult to define such a limit value, particularly
when a large number of generators are connected in the
power supply networks, and complex oscillations can
thus occur.

SUMMARY OF THE INVENTION

30 The invention relates to a method for producing at
least one signal (oscillation signal), which indicates
an oscillation in an electrical power supply system, in
which method the phase current and the phase voltage
are in each case sampled from at least one phase of the
35 power supply system, forming phase current and phase
voltage sample values, impedance values are formed from
the phase current and phase voltage sample values, the
impedance values are monitored for the presence of any
oscillation and, if an oscillation is identified, at

In one embodiment of the invention, there is a method that checks the impedance values and makes use of an oscillation model which is formed from previous impedance values associated with the oscillation, or from variables which are dependent on these impedance values. A check is then carried out to determine whether a further impedance value formed at that time or a variable which is dependent on the further impedance value differs from the oscillation model, and any occurrence of a further impedance value or of a variable dependent on this impedance value which differs from the oscillation model is assessed as the oscillation having stopped.

The oscillation model can advantageously be determined by means of a least squares estimation method. This estimation method allows a mathematical oscillation model to be produced from successive impedance values which have been formed after the setting of the memory element, that is to say after the start of the oscillation.

A function in the form $f(x) = ax^3+bx^2+cx+d$ with the parameters a , b , c and d can be used as the model rule for the oscillation model, in which one or more

In one advantageous embodiment of the invention, positive phase sequence system impedance values can be formed from the phase current and phase voltage sample values, and a common memory element can be provided, and a common oscillation signal can be produced, for the phases in the power supply system. This variant can be used when the aim is to make a statement relating to any oscillation occurring at the same time in all the phases in the power supply system.

30 In a further embodiment of the method according to the invention, phase impedance values are formed from the phase current and phase voltage sample values of each phase of the power supply system to be investigated for oscillation, and a dedicated memory
35 element is provided, and a dedicated oscillation signal is produced, for each of these phases. In this embodiment, the oscillation response of each individual phase in the power supply system can be investigated separately. That is, both the starting and the

stopping of an oscillation are identified. This is particularly advantageous when oscillations occur in a single phase, but not in all the phases, in the power supply system. Oscillations such as these frequently occur in the case of so-called single-pole pauses in high-voltage systems. Single-pole pauses are produced by single-pole conductor ground faults, which can be expected frequently in high-voltage systems, and in which an arc is struck between one conductor and ground. In this type of fault, a single-pole pause is produced. That is, the single phase in which the single-pole conductor-ground fault has occurred is switched off briefly. The arc is thus quenched, and the fault is frequently corrected. Switching off a single pole of one phase can result in oscillations occurring in the remaining phases which are not switched off. These oscillations cannot be identified, for example, by monitoring the positive phase sequence system impedance values, since positive phase sequence system impedance values are formed when sample values are available for the phases in the power supply system. In the case of a single-pole pause, it is advantageous to be able to produce a dedicated oscillation signal for each phase in the power supply system. During the single-pole pause, this oscillation signal is produced for those phases which are not switched off. The oscillation behavior of the power supply system can thus be determined individually for each phase, and independently of the state of the other phases.

30 The phase impedance values of the individual phases in the electrical power supply system can, for example, be formed by, in order to form the phase impedance values,

- a variable U_re including the real part of the phase voltage sample values, a variable U_im including the imaginary part of the phase voltage sample values, a variable I_re including the real part of the phase current sample values and a variable I_im including the imaginary part of the phase current sample values being

- a phase real power variable P being determined from $P = U_{re} \cdot I_{re} - U_{im} \cdot I_{im}$,
- 5 - a phase wattless component variable Q being determined from $Q = U_{im} \cdot I_{re} + U_{im} \cdot I_{re}$,
- a squared phase current amplitude variable I^2 being determined from $I^2 = I_{re} \cdot I_{re} + I_{im} \cdot I_{im}$,
- system-frequency components in each case being
- 10 removed by means of least squares estimation method from the phase real power variable P , from the phase wattless component variable Q and from the squared phase current amplitude variable I^2 , and
- 15 - phase resistance values R being determined from $R=P/I^2$ and phase reactance values X being determined from $X=Q/I^2$, and phase impedance values $Z=R+jX$ being thus determined.

When forming the phase impedance values, it is advantageous to remove the system frequency components (for example 50 Hz components) from the phase real power variable P , from the phase wattless component variable Q and from the squared phase current amplitude variable I^2 by means a least squares estimation method in each case. Such system frequency interference components would adversely affect the evaluation of the phase impedance values determined from these variables.

30 BRIEF DESCRIPTION OF THE DRAWINGS

In order to explain the invention further, Figure 1 shows a block diagram of an exemplary embodiment of the method according to the invention.

Figure 2 shows a block diagram for determining the
35 phase impedance values.

Figure 3 shows the impulse response of the filters used for impedance determination.

Figure 4 shows the real power and wattless component variable profiles before filtering.

Figure 5 shows the real power and wattless component variable profiles after filtering.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

5 Figure 1 shows, schematically, a method for determining the oscillation behavior of a three-phase electrical power supply system, by means of which a dedicated oscillation signal Pd1, Pd2 and Pd3 is produced for each phase in the power supply system.

10 This is done by providing three changeover switches U1, U2 and U3 and three memory elements Spi, Sp2 and Sp3. The connecting lines between the individual units in the layout are designed with three poles. The phase current and phase voltage sample values i and u of all

15 three phases are supplied to a unit for impedance determination Ib, at whose output phase impedance values Z are output for the three phases. These phase impedance values Z are supplied via the changeover switches U1, U2 and U3 to an oscillation identification

20 unit Pe. The oscillation identification unit Pe uses the time profiles of the phase impedance values Z to identify the occurrence of any oscillation in the individual phases, for example in phase 1, and emits an oscillation set signal Ps at its output for each phase

25 in which oscillation is identified, for example for the phase 1. The oscillation set signal Ps sets the memory element associated with the respective phase, for example Sp1, and this memory element emits at its output the phase-specific oscillation signal, for

30 example, Pd1. When an oscillation signal is being emitted, for example the oscillation signal Pd1, the changeover switch, for example U1, associated with the respective phase is switched over. The phase impedance values Z which are still formed for the phase in which

35 the oscillation has been identified, for example the phase 1, are supplied to an oscillation signal resetting unit Pü. This oscillation signal resetting unit Pü identifies that the oscillation has stopped and, in this case, emits an oscillation reset signal Pr

$$J = \sum_{i=k-N}^k (y_i - h(\underline{\Theta}_k))^2 \rightarrow \text{MIN} \quad (7)$$

In equation (7), J represents the Q-criterion to be minimized. The signal model included in equation (5) is used as the function $h(\underline{\Theta}_k)$. The parameters A, B and C to be determined form a vector $\underline{\Theta}_k$ in accordance with equation (8).

$$\underline{\Theta}_k = \begin{pmatrix} A \\ B \\ C \end{pmatrix} \quad (8)$$

10

The Q-criterion J is derived based on the parameter vector $\underline{\Theta}_k$ in order to solve the minimization task. For the signal model in accordance with equation (5), this then results in equation (9) together with equation (10).

$$0 = \sum_{i=k-N}^k 2\underline{\gamma}_i^T (y_i - \underline{\gamma}_i^T \underline{\Theta}_k) \quad (9)$$

$$\underline{\gamma}_i^k = \frac{\partial h}{\partial \underline{\Theta}_k} \quad \underline{\gamma}_i^k = \begin{pmatrix} \sin\left(\frac{2\pi}{T} i T_A\right) \cdot e^{\frac{i T_A}{\tau}} \\ \cos\left(\frac{2\pi}{T} i T_A\right) \cdot e^{\frac{i T_A}{\tau}} \\ 1 \end{pmatrix} \quad (10)$$

If equation (9) is solved for the vector $\underline{\Theta}_k$, then this results in equation (11), by means of which, and using the matrix \underline{S}_k included in equations (12) and (13), the vector $\underline{\Theta}_k$ is determined.

5

$$\underline{\Theta}_k = \underline{S}_k^{-1} \sum_{i=1}^k \underline{\gamma}_i^T y_i \quad (11)$$

$$\underline{S}_k = \sum_{i=k-N}^k \underline{\gamma}_i^T \underline{\gamma}_i \quad (12)$$

$$\underline{S}_k = \begin{pmatrix} \sin^2\left(\frac{2\pi}{T}iT_A\right) \cdot e^{-\frac{iT_A}{\tau}} & \sin\left(\frac{2\pi}{T}iT_A\right)\cos\left(\frac{2\pi}{T}iT_A\right) \cdot e^{-\frac{iT_A}{\tau}} & \sin\left(\frac{2\pi}{T}iT_A\right) \cdot e^{-\frac{iT_A}{\tau}} \\ \cos\left(\frac{2\pi}{T}iT_A\right)\sin\left(\frac{2\pi}{T}iT_A\right) \cdot e^{-\frac{iT_A}{\tau}} & \cos^2\left(\frac{2\pi}{T}iT_A\right) \cdot e^{-\frac{iT_A}{\tau}} & \cos\left(\frac{2\pi}{T}iT_A\right) \cdot e^{-\frac{iT_A}{\tau}} \\ \sin\left(\frac{2\pi}{T}iT_A\right) \cdot e^{-\frac{iT_A}{\tau}} & \cos\left(\frac{2\pi}{T}iT_A\right) \cdot e^{-\frac{iT_A}{\tau}} & 1 \end{pmatrix} \quad (13)$$

Of the parameters A, B and C included in the vector $\underline{\Theta}_k$, only the parameter C is evaluated. The
10 vectors $\underline{\gamma}_i^k$ in accordance with equation (10) and the matrix \underline{S}_k in accordance with equation (13) are calculated and are stored as constants, so that they are available when the method is used.

Monotony criteria are applied to the locus curves
15 of the impedance values in the impedance plane in the oscillation identification unit Pe, in order to identify the oscillation process. This method for identification of the oscillation process is described in German Patent DE 197 46 719 C1.

20 The oscillation signal resetting unit Pü determines whether an oscillation which has already been identified is still continuing. The procedure used for this purpose comprises the production of an oscillation model for phase impedance values Z
25 associated with the oscillation. A check is then carried out to determine whether the locus curve which is described by the newly determined phase impedance values Z still corresponds to the oscillation model. When producing the oscillation model, it is assumed

Stimulated loops	Phases to be investigated for oscillation behavior
L1E	L1
L2E	L2
L3E	L3
L12	L1 and L2
L23	L2 and L3
L31	L1 and L3

Description

Method for identification of an oscillation in an electrical power supply system

5

The invention relates to a method for producing at least one signal (oscillation signal), which indicates an oscillation in an electrical power supply system, in which method the phase current and the phase voltage are in each case sampled from at least one phase of the power supply system, forming phase current and phase voltage sample values, impedance values are formed from the phase current and phase voltage sample values, the impedance values are monitored for the presence of any oscillation and, if an oscillation is identified, at least one memory element is set, and the oscillation signal is output at its output, after setting the memory element, further impedance values are checked to determine whether the oscillation that has been found is still continuing, the memory element remains uninfluenced if the oscillation continues, and the memory element is reset if the oscillation has stopped.

A method such as this is described in German Laid-Open Specification DE 195 03 626 A1. In this method, once the memory element has been set, further impedance values are checked to determine whether the oscillation that has been found is still continuing, by determining the rate of change of the magnitude of respectively successive impedance values and, if it is found that the rate of change is above a limit value, this identifies that the oscillation has stopped, and the memory element is reset. It has been found to be difficult to define such a limit value, particularly when a large number of generators are connected in the power supply networks, and complex oscillations can thus occur.

The invention is based on the object of specifying a method by means of which the oscillation behavior of an electrical power supply system can be detected safely and reliably at all times.

5

For a method of the type mentioned initially, this object is achieved according to the invention in that the check of the further impedance values makes use of an oscillation model which is formed from previous
10 impedance values associated with the oscillation, or from variables which are dependent on these impedance values; a check is then carried out to determine whether a further impedance value formed at that time or a variable which is dependent on this further
15 impedance value differs from the oscillation model, and any occurrence of a further impedance value or of a variable dependent on this impedance value which differs from the oscillation model is assessed as the oscillation having stopped.

20

One major advantage of the method according to the invention is that the oscillation model allows even complex oscillations to be described, and it is thus possible to identify that the oscillation has stopped
25 with a high level of reliability even in the case of such complex oscillations.

The oscillation model can advantageously be determined by means of a least squares estimation method. This
30 estimation method allows a mathematical oscillation model to be produced from successive impedance values which have been formed after the setting of the memory element, that is to say after the start of the oscillation.

35

A function in the form $f(x) = ax^3 + bx^2 + cx + d$ with the parameters a , b , c and d can be used as the model rule for this oscillation model, in which one or more parameters can be defined to be zero before the start

of the estimation method. First, second or third order power functions can thus be used as the model rule. Furthermore, a sum of sine and cosine functions, which decay with time, can be used as the model rule for the
5 oscillation model. These model rules make it possible to describe even complex oscillations mathematically.

The oscillation model can be formed directly for the determined impedance values of the oscillation, or else
10 for variables dependent on these impedance values. Resistance values R , reactance values X , time derivative values dZ/dt of the impedance, time derivative values dR/dt of a resistance or time derivative values dX/dt of a reactance can be used as
15 dependent variables. Choice of the most suitable variable for the oscillation model makes it possible to determine with a high level of reliability that the oscillation has stopped, with the choice of the variable being dependent on the individual system
20 configuration of the electrical power supply system.

In one advantageous embodiment of the invention, positive phase sequence system impedance values can be formed from the phase current and phase voltage sample
25 values, and a common memory element can be provided, and a common oscillation signal can be produced, for all the phases in the power supply system. This variant can be used when the aim is to make a statement relating to any oscillation occurring at the same time
30 in all the phases in the power supply system.

In a further advantageous embodiment of the method according to the invention, phase impedance values are formed from the phase current and phase voltage sample
35 values of each phase of the power supply system to be investigated for oscillation, and a dedicated memory element is provided, and a dedicated oscillation signal is produced, for each of these phases. In this embodiment, the oscillation response of each individual

10

$$I^2 = I_{re} \cdot I_{re} + I_{im} \cdot I_{im} \quad (3)$$

As shown in Figure 2, after the filtering in the unit
35 9, phase resistance values R and phase reactance values
 X are determined in accordance with equation (4), and

the phase impedance values $Z=R+jX$ determined from them are emitted at the output of the impedance determination unit Ib.

$$R=P' / I^2, \quad X=Q' / I^2, \quad (4)$$

5

A least squares estimation method using a signal model in accordance with equation (5) is applied separately to each of the variables P , Q and I^2 in order to filter out the 50 Hz components contained in the phase real power variable P , in the phase Wattless component variable Q and in the squared phase current amplitude variable I^2 .

$$y_k = A \cdot e^{\frac{1}{\tau}} \cdot \sin(\omega_0 k \cdot T_A) + B \cdot e^{\frac{1}{\tau}} \cdot \cos(\omega_0 k \cdot T_A) + C \quad (5)$$

15

The estimation method uses the squared phase current amplitude variable I^2 , the phase real power variable P and the phase Wattless component variable Q to calculate the parameters A , B and C in the signal model. The parameter C gives the sought magnitude of the phase real power variable P' , of the phase Wattless component variable Q' and of the squared phase current amplitude variable I'^2 . The summands with the parameters A and B model the 50 Hz components. The variable ω_0 is the frequency (50 Hz) to be filtered out, and T_A is the sampling time.

If an equivalent circuit with only two generator machines at the ends of a power transmission line is considered for the power supply system, the amplitude of the 50 Hz components decays with the time constants τ of the sum impedance between the two generator machines in accordance with equation (6), where L is the loop inductance and R is the loop resistance of the circuit which is closed via the two generator machines.

$$\tau = \frac{\sum L}{\sum R} \quad (6)$$

The coefficients A, B and C are determined such that the sum of the squares of the errors between values y determined from the phase current and phase voltage sample values i and u and the sample values y_k calculated in accordance with equation (5) becomes a minimum (see equation (7)).

$$J = \sum_{i=k-N}^k (y_i - h(\underline{\Theta}_k))^2 \rightarrow \text{MIN} \quad (7)$$

In equation (7), J represents the Q-criterion to be minimized. The signal model included in equation (5) is used as the function h(Θ_k). The parameters A, B and C to be determined form a vector Θ_k in accordance with equation (8).

$$\underline{\Theta}_k = \begin{pmatrix} A \\ B \\ C \end{pmatrix} \quad (8)$$

The Q-criterion J is derived based on the parameter vector Θ_k in order to solve the minimization task. For the signal model in accordance with equation (5), this then results in equation (9) together with equation (10).

$$0 = \sum_{i=k-N}^k 2\underline{\gamma}_i^T (y_i - \underline{\gamma}_i \underline{\Theta}_k) \quad (9)$$

$$\underline{\gamma}_i^k = \frac{\partial h}{\partial \underline{\Theta}_k} \quad \underline{\gamma}_i^k = \begin{pmatrix} \sin\left(\frac{2\pi}{T} i T_A\right) \cdot e^{\frac{i T_A}{\tau}} \\ \cos\left(\frac{2\pi}{T} i T_A\right) \cdot e^{\frac{i T_A}{\tau}} \\ 1 \end{pmatrix} \quad (10)$$

to the oscillation model. When producing the oscillation model, it is assumed that the locus curve is free of discontinuities, and that its direction changes only very slowly. In the present exemplary embodiment, the locus curve is described by a first order power function, that is to say by a linear equation, in accordance with equation (14).

$$X(R) = m \cdot R + X_0 \quad (14)$$

10 The parameters m and X_0 are determined by means of a non-recursive least squares estimation method from the last N determined phase impedance values Z .

The linear equation is used as a model rule for the least squares estimation method, with the parameter m characterizing the gradient, and the parameter X_0 characterizing the offset of the linear equation. The parameters m and X_0 for the model in accordance with equation (14) are determined from the last determined value pairs (R_i, X_i) of the phase impedance values Z_i such that the sum of the squares of the errors between the values X_i determined from the measured phase current and phase voltage sample values i and u and the values X calculated in accordance with equation (14) is a minimum (see equation (15)).

$$J = \sum_{i=k-N}^k (X_i - h(\underline{\Theta}_k))^2 \rightarrow \text{MIN} \quad (15)$$

In equation (15), J is the Q-criterion to be minimized, the model rule in accordance with equation (14) is used as the function $h(\underline{\Theta}_k)$. In accordance with equation (16), the parameter vector $\underline{\Theta}_k$ contains the parameters m and X_0 , to be determined, from the model rule.

$$\underline{\Theta}_i = \begin{pmatrix} m \\ X_0 \end{pmatrix} \quad (16)$$

In order to solve the minimization task, the Q-criterion J must be derived on the basis of the parameter vector $\underline{\Theta}_k$. The following equations (17) and (18) are then obtained for the signal model in accordance with equation (14):

$$0 = \sum_{i=k-N}^k 2\gamma_{\underline{i}}^T (X_i - h(\underline{\Theta}_k)_i) \quad (17)$$

$$\underline{\gamma}_i^k = \frac{\partial h}{\partial \Theta_k} = \begin{pmatrix} R \\ 1 \end{pmatrix} \quad (18)$$

If equation (17) is solved for the parameter vector Θ_k ,
10 then this results in equation (19) for determining the
parameter vector Θ_k .

$$\underline{\Theta}_k = \underline{S}_k^{-1} \sum_{i=1}^k \underline{Y}_i^T y_i \quad (19)$$

where

$$\underline{S}_k = \sum_{i=k-N}^k \underline{y}_i^T \underline{y}_i \quad (20)$$

$$\underline{S}_k = \sum_{i=k-N}^k \begin{pmatrix} R_i^2 & R_i \\ R_i & 1 \end{pmatrix} \quad (21)$$

15 After substitution of the parameters in equation (14),
this thus results in the estimated oscillation model.
If a newly determined phase impedance value Z
corresponds to the oscillation model, that is to say it
20 is within a tolerance band around the linear equation
represented by equation (14), then this identifies that
the oscillation is continuing. If the newly determined
phase impedance value Z is outside the tolerance band,
then this identifies that the oscillation has stopped,
25 and an oscillation reset signal Pr is emitted at the
output of the oscillation signal resetting unit Pü for
the memory element Sp1, Sp2 or Sp3 for the respective
phase.

30 The phase selection unit Pa receives a stimulus from,
for example, a distance protection device which is not

illustrated. Depending on the nature of the stimulated loop, it determines the phases for which the oscillation identification unit P_e and/or the oscillation signal resetting unit $P_{\bar{u}}$ should investigate the oscillation behavior. The following table shows the association between the stimulated loops and the phases.

Stimulated loops	Phases to be investigated for oscillation behavior
L1E	L1
L2E	L2
L3E	L3
L12	L1 and L2
L23	L2 and L3
L31	L1 and L3

as the variable dependent on the impedance values.

9. The method as claimed in one of claims 1 to 8, characterized in that positive phase sequence system impedance values are formed from the phase current and phase voltage sample values (i, u), and a common memory element (Sp) is provided, and a common oscillation signal (Pd) is produced, for all the phases in the power supply system.

10. The method as claimed in one of claims 1 to 8, characterized in that phase impedance values are formed from the phase current and phase voltage sample values (i, u) of each phase of the power supply system to be investigated for oscillation, and a dedicated memory element (Sp) is provided, and a dedicated oscillation signal (Pd) is produced, for each of these phases.

11. The method as claimed in claim 10, characterized in that

in order to form the phase impedance values,

- a variable U_{re} containing the real part of the phase voltage sample values, a variable U_{im} containing the imaginary part of the phase voltage sample values, a variable I_{re} containing the real part of the phase current sample values and a variable I_{im} containing the imaginary part of the phase current sample values are formed from the phase current and phase voltage sample values (i , u) for each phase,

- 18 -

- a phase real power variable P is determined from

$$P = U_{re} \cdot I_{re} - U_{im} \cdot I_{im}$$
- a phase Wattless component variable Q is determined from $Q = U_{im} \cdot I_{re} + U_{re} \cdot I_{im}$
- a squared phase current amplitude variable I^2 is determined from $I^2 = I_{re} \cdot I_{re} + I_{im} \cdot I_{im}$
- system-frequency components are in each case removed by means of a least squares estimation method from the phase real power variable P , from the phase wattless component variable Q and from the squared phase current amplitude variable I^2 , and
- phase resistance values R are determined from $R=P/I^2$ and phase reactance values X are determined from $X=Q/I^2$, and phase impedance values $Z=R+jX$ are thus determined.

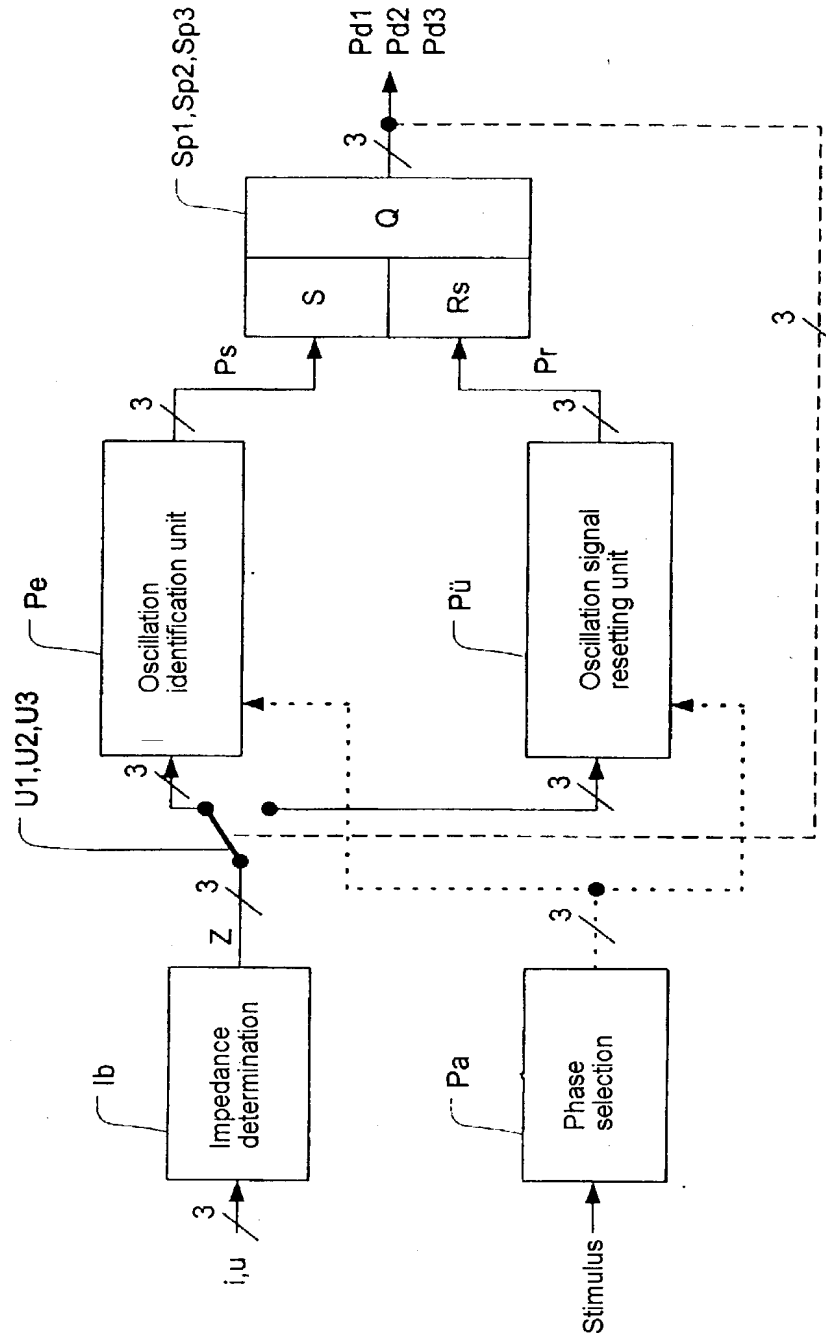


Fig. 1

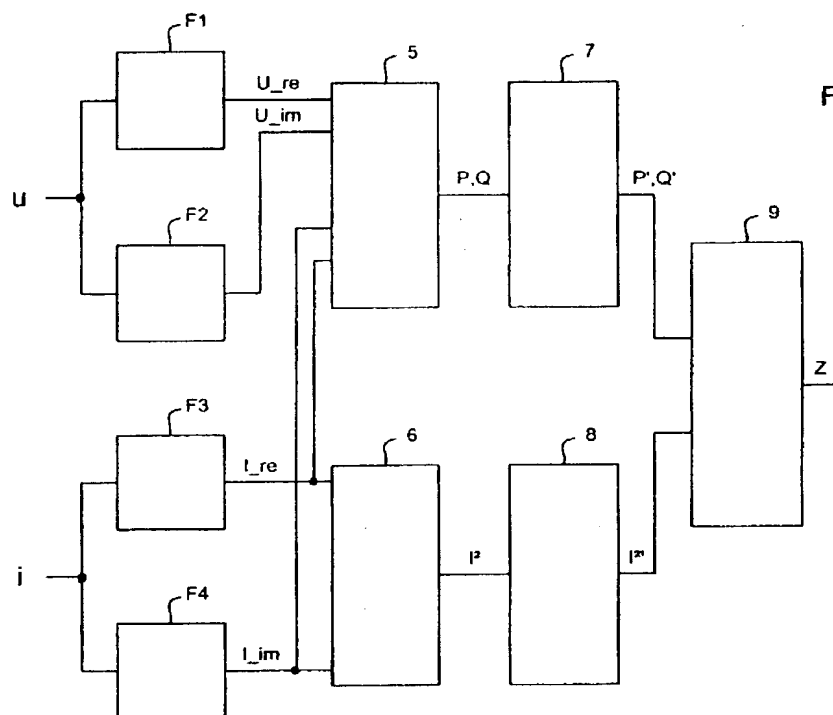


Fig. 2

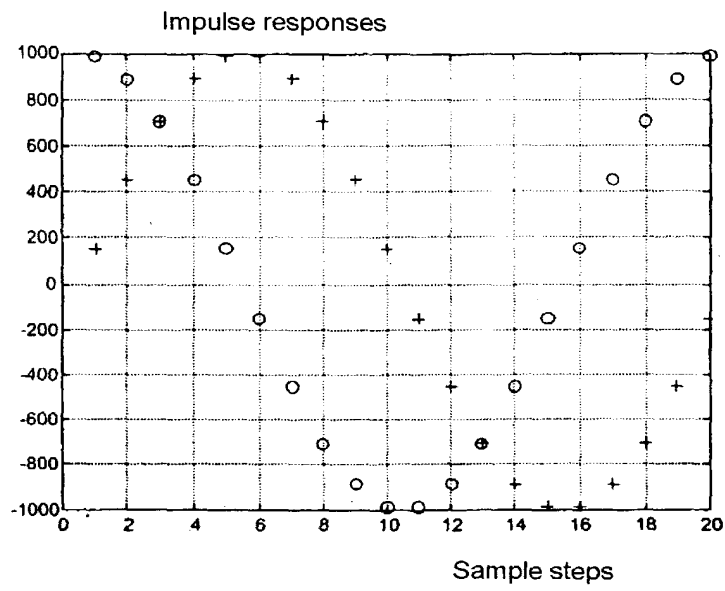


Fig. 3

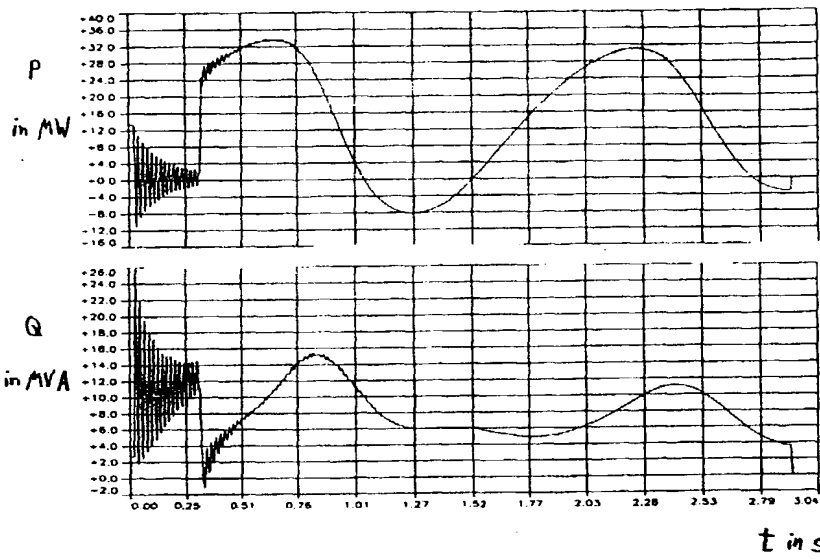


Fig. 4

a)

b)

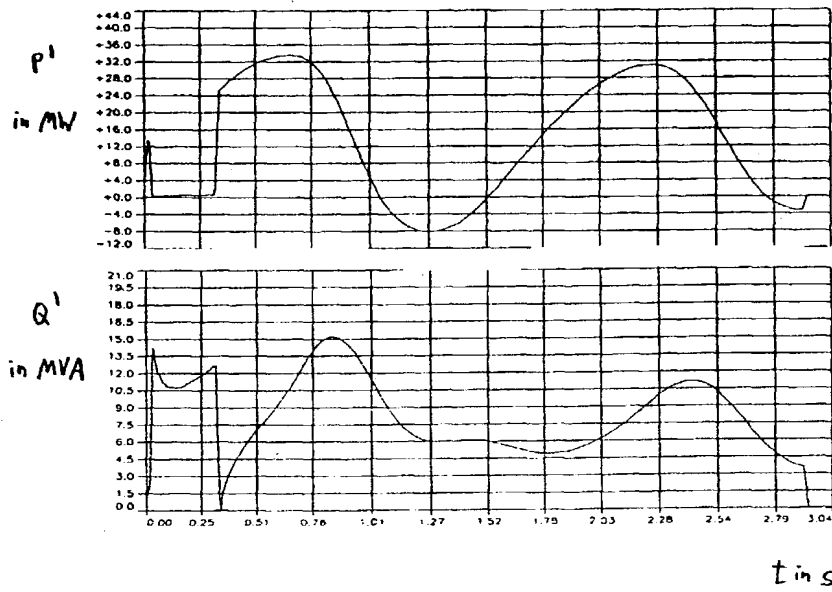


Fig. 5

a)

b)

Declaration and Power of Attorney For Patent Application

Erklärung Für Patentanmeldungen Mit Vollmacht

German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen,

dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

Verfahren zum Erkennen einer
Pendelung in einem elektrischen
Energieversorgungsnetz

deren Beschreibung

(zutreffendes ankreuzen)

☐ hier beigefügt ist.

☒ am 27.09.2000 als

PCT internationale Anmeldung

PCT Anmeldungsnummer 10/089,550

eingereicht wurde und am _____

abgeändert wurde (falls tatsächlich abgeändert).

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD FOR RECOGNIZING AN
OSCILLATION IN AN ELECTRIC
SUPPLY NETWORK

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 27.09.2000 as

PCT international application

PCT Application No. 10/089,550

and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

German Language Declaration

Prior foreign applications
Priorität beansprucht

Priority Claimed

19948694.8

DE

30.09.1999

☒ Yes
Ja

☐ No
Nein

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

☐ Yes
☐ Ja

☐ No
Nein

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

☐ Yes
☐ Ja

☐ No
Nein

Ich beanspruche hiermit gemäss Absatz 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmeldungen und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 122 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmeldedatum der früheren Anmeldung und dem nationalen oder PCT internationalen Anmeldedatum dieser Anmeldung bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §122, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

10/089,550

(Application Serial No.)
(Anmeldeseriennummer)

27.09.2000

(Filing Date D, M, Y)
(Anmeldedatum T. M. J)

anhängig

(Status)
(patientiert, anhängig,
aufgegeben)

pending

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(Application Serial No.)
(Anmeldeseriennummer)

(Filing Date D,M,Y)
(Anmeldedatum T, M; J)

(Status)
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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

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Staatsangehörigkeit		Citizenship	
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